

PCT Applicant's Guide - Volume II - National Chapter -US

Form PTO-1390 U.S. Department of Commerce Patent and Trademark Office
(REV 10-95)

ATTORNEY'S DOCKET NUMBER

FMW-BR-PCT-US

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/506555INTERNATIONAL APPLICATION NO.
PCT/EP03/02372INTERNATIONAL FILING DATE
07 March 2003PRIORITY DATE CLAIMED
07 March 2002TITLE OF INVENTION: **DEVICE, EUV-LITHOGRAPHIC DEVICE AND METHOD FOR PREVENTING AND CLEANING
CONTAMINATION ON OPTICAL ELEMENTS**APPLICANT(S) FOR DO/EO/US: **WEDOWSKI, Marco; STIETZ, Frank; MERTENS, Bas; KLEIN, Roman**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Article 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2))
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

Annex US.II, Page 2

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U.S. APPLICATION NO. (If known, see 37 CFR 1.55) 10/506555		INTERNATIONAL APPLICATION NO. PCT/EP03/02372		ATTORNEY'S DOCKET NUMBER FMW-BR-PCT-US	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(A)(1)-(5))					
Search Report has been prepared by the EPO or JPO \$920.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482) \$730.00					
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$770.00					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,080.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$920.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)) 20 <input type="checkbox"/> 30 <input type="checkbox"/>				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	21-20=	1	X\$18.00	\$18.00	
Independent Claims	4-3=	1	X\$86.00	\$86.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$290.00	\$0.00	
TOTAL OF ABOVE CALCULATION =				\$1,024.00	
Reduction of 1/2 for filing by small entity, if applicable.				\$00	
SUBTOTAL =				\$1,024.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).				\$00	
TOTAL NATIONAL FEE =				\$1,024.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$0.00	
TOTAL FEES ENCLOSED =				\$1,024.00	
				Amount to be refunded: \$	
				Charged: \$	
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$1,024.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit No. 08-3150. A duplicate copy of this sheet is enclosed.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					
SEND ALL CORRESPONDENCE TO:					
Daniel J. Hudak, Jr. Hudak Shunk & Farine Co. LPA 2020 Front Street, Suite 307 Cuyahoga Falls, Ohio 44221 (330) 535-2220					
xi <u>Daniel J. Hudak Jr.</u> Signature					
<u>Daniel J. Hudak Jr.</u> Name					
<u>47,669</u> Registration Number					

10/506555

**CERTIFICATE UNDER 37 C.F.R. § 1.10 OF MAILING
BY "EXPRESS MAIL"**

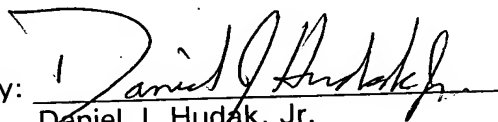
Applicant: Marco Wedowski et al.
International Application No.: PCT/EP03/02372
International Filing Date: 07 March 2003
Title: DEVICE, EUV-LITHOGRAPHIC DEVICE AND
METHOD FOR PREVENTING AND CLEANING
CONTAMINATION OF OPTICAL ELEMENTS
Agent's Reference No.: FMW-BR-PCT-US
Date: September 2, 2004

EXPRESS MAIL RECEIPT NO.: EV 435310967 US

DATE OF DEPOSIT: September 2, 2004

The undersigned hereby certifies that the attached papers were deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

HUDAK, SHUNK & FARINE CO. LPA

By: 
Daniel J. Hudak, Jr.
Agent for Applicant

DJHjr/lb

Enclosures: Express Mail Certification
Return postcard
Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US)
Concerning a filing under 35 USC § 371 (in duplicate)
Filing Fee by check of \$1,024.00
Unexecuted Declaration for Utility or Design Patent Application
Copy of Request (7 pages)
Copy of International Application Published under the PCT
WO 03/075098 A2 (50 pages including 17 pages of drawings) and
Copy of International Search Report – WO 03/075098 A3
Translation of International Application – 32 pgs including
drawings – 17 pgs
Preliminary Amendment
Copies of forms PCT/IB/306, PCT/IB/308, PCT/IB/304